

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
4 October 2001 (04.10.2001)

PCT

(10) International Publication Number  
WO 01/73687 A2

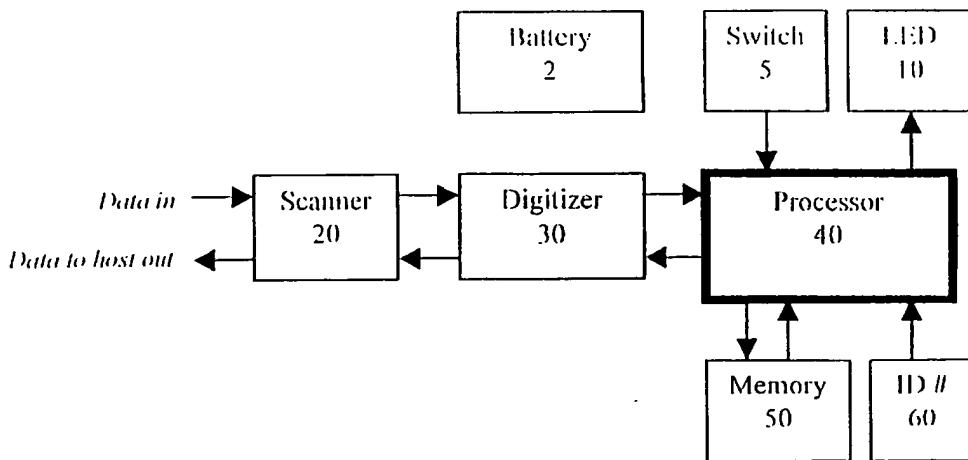
- (51) International Patent Classification<sup>7</sup>: G06K 19/12      (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (21) International Application Number: PCT/US01/40370
- (22) International Filing Date: 26 March 2001 (26.03.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/191,914                          24 March 2000 (24.03.2000) US
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Published:

- without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: APPARATUS AND METHOD FOR GATHERING AND UTILIZING DATA



WO 01/73687 A2

(57) Abstract: Disclosed is a device and method for obtaining and utilizing data. A handheld device which is capable of optically scanning or receiving RFID signals from an object, storing the data in an internal memory, and then re-transmitting the data is disclosed. The re-transmitted data may trigger access to an internet web site or other database which provides a user with detailed information relating to the scanned object. The device is also usable as a re-configurable electronic key or may be built into electronic devices to allow reconfiguration by scanning a symbol.

**APPARATUS AND METHOD FOR GATHERING AND UTILIZING DATA****BACKGROUND OF THE INVENTION****FIELD OF THE INVENTION**

The present invention is directed to an apparatus and method for gathering data with a portable handheld device, and then transmitting the data for further use. The data gathered may be in the form of a bar code or other machine readable symbology, or in the form of radio frequency identification (RFID) data.

**DESCRIPTION OF RELATED ART**

In the past, various devices have been proposed for gathering data. The gathering of machine readable information is a broad field having many specific applications. Machine readable symbologies and scanning equipment have been described in detail in a number of publications, including "The Bar Code Book" by Roger C. Palmer, hereby incorporated by reference.

Machines are also able to read data via "radio". Passive RFID circuits or passive reflector transceivers (PFTs) are read by an interrogator. The interrogator activates an RFID or PFT via a transmitted signal that illuminates a receiving antenna with sufficient energy to activate the circuit and then allow the circuit to transmit its stored data via a modulated passive reflection of the interrogator's signal. RFID circuits connected to supporting logic circuitry may be used as a memory storage component and or as a communication or output means for a device.

RFID technology is described in "Perspectives in Radio Frequency Identification" by Ron Ames, also incorporated by reference. RFID's capable of being both remotely read and written to are described in U.S. Patent No. 5,030,807, hereby incorporated by reference. Use of RFID's as a low power communication means is

disclosed in US Patent Application No. XX/XXXXX, assigned to Intermec Corporation, titled "Tetherless Scanner with RFID Tag", filed 3/23/2001 and hereby incorporated by reference.

Bar codes located on consumer products/packaging identify the products during inventory, distribution and automated check out. Bar codes may also be used to identify products and then link a user to related information about the products via databases and or the internet. Methods and apparatus for linking a user to information related to a specific barcode are disclosed in US patent application No. 09/788,628, assigned to Intermec Corporation, titled "Method and Apparatus for Accessing Product Information Using Barcode Data", filed 2/14/2001 and hereby incorporated by reference.

An affordable apparatus and or method for gathering machine readable information, for example, relating to products for potential purchase, and then utilizing the data to assist in purchasing decisions has not previously been available. For example, a consumer upon entering any retail sales facility is presently incapable of obtaining all of the information which may be relevant to an eventual purchase. The information normally available about potential items for purchase has normally been limited to sales brochures and other small bits of information.

US Patent 6,084,528 discloses an elaborate wireless networked consumer scanning device which optionally includes a video or audio display for use within a defined area for providing on demand information relating to a scanned symbol to a user. Usable only within the range of the wireless network, this system is expensive and limited by a typical local wireless network's operating range of only a single commercial outlet's location.

For the general public to adopt this technology, it must be of a size that allows it to be carried at all times to any location without being a noticeable burden. Also, the cost of the device cannot be so large as to overcome the benefit it provides.

Previous "compact" data acquisition and temporary storage devices have a size that is still too large to be carried by a user at all times. US Patent 4,471,218 discloses a portable data entry terminal that can optically scan a bar code into memory and then optically transfer the scanned code to a host computer. Disclosed as having a wand-type form, this early device was too large to be readily carried by users at all times and has a cost of manufacture that places it out of range of general consumer distribution. Further, this device does not have the ability to differentiate between different bar code symbologies and must scan a symbol in a specific direction to obtain a correct reading. International Application Publication WO 00/39742 discloses a "compact" bar code scanner that weighs 75 grams and has a size that is to large to be a carry along, filling an average users hand. The scanner has cavity electrical connections for a docking device that are easily fouled and again, a cost of manufacture that puts it out of range for general consumer distribution.

An object of the present invention is to provide an inexpensive to manufacture handheld data gathering device small enough to be carried at all times, for example, carried in a pocket on a users key chain or integrated into common consumer devices. The device may be used, for example, to scan products of interest at any location and store identifying information for further processing after the consumer leaves the retail establishment. The gathered identifying information may be downloaded to a computer means and used to obtain further detailed information about the products observed in the retail establishment from an information database and or over the internet.

Other objects of the invention are revealed to one skilled in the art by review of the following description and claims.

#### SUMMARY OF THE INVENTION

The present invention relates to a handheld device for gathering information, and then for transmitting the information in order to enable further processing.

The invention comprises a handheld portable scanning device "mobile data acquisition and transference apparatus (MDATA)" which may be used to scan barcode or other machine readable symbology information or RFID stored information, and then store the information in the portable device. The information is downloaded to a computer means, for example a home computer or internet portal, and the gathered information used for accessing databases, internet web sites or specific video content via digital cable/satellite systems which may contain/present further detailed information about the originally scanned objects or services.

Portable, small enough to be carried by user at all times, the device may be built into many forms, examples of which are: pens, wands, keys, keyfobs, or integrated into existing automobile remote "keys", pagers, flashlights or internet enabled cell phones and handheld computers.

By referencing an electronic serial number embedded in an MDATA, a users interests and or purchases can be recorded. The MDATA can also function as a re-configurable electronic key and as a smart credit card for automated purchasing or order recording.

The device is designed for high volume manufacture at a minimal unit cost. This promotes rapid market penetration and consumer acceptance of the technology.

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a block diagram of the MDATA.

Figure 2 is a block diagram of another embodiment of the MDATA.

Figure 3 is a block diagram of another embodiment of the MDATA, utilizing an RFID tag for data storage and transmission.

Figure 4 is a block diagram of an MDATA configured for direct connection with a host computer device.

Figure 5 is a block diagram of an MDATA integrated into a host computer device.

Figure 6A is a top edge view of one embodiment of the MDATA in keyfob form.

Figure 6B is an isometric view of one embodiment of the MDATA in keyfob form.

Figure 6C is a front side view of one embodiment of the MDATA in keyfob form.

Figure 6D is a back side view of one embodiment of the MDATA in keyfob form.

Figure 6E is a top edge view of another embodiment of the MDATA.

Figure 6F an isometric view of another embodiment of the MDATA.

Figure 6G is a front side view of another embodiment of the MDATA.

Figure 6H is a back side view of another embodiment of the MDATA.

Figure 7 is a block diagram of one embodiment of a docking device.

Figure 8 is a block diagram of another embodiment of a docking device, connecting to a host via a keyboard port.

Figure 9 is a block diagram illustrating information flow using the docking device of Figure 8.

Figure 10A is an isometric view of one embodiment of the docking device.

Figure 10B is a side view of one embodiment of the docking device.

Figure 10C is a front view of one embodiment of the docking device.

Figure 11 is a block diagram of one embodiment of an MDATA data capture sequence.

Figure 12 is a block diagram of one embodiment of an MDATA data download sequence.

Figure 13 is a block diagram of an MDATA power management routine.

Figure 14 is an illustration of a data acquisition and retrieval system using an MDATA.

Figure 15 is an illustration of a data acquisition and retrieval system using an MDATA integrated into a cell phone or wireless enabled PDA.

Figure 16 is an isometric wire-frame view of one embodiment of a docking device and a mating MDATA.

Figure 17A is a side view of an MDATA, with one half of the cover removed to expose the PCB and optical elements.

Figure 17B is a front end view of the MDATA of Figure 17A.

Figure 17C is a back side view of the MDATA of Figure 17A.

Figure 18 is a blow up of section A of Figure 17A, detailing optical elements of the scanner.

Figure 19A is a front view of a slit aperture.

Figure 19B is a front view of a cross aperture.

Figure 19C is a front view of a star aperture.

Figure 19D is a front view of a circle aperture.

Figure 19E is a front view of an ellipsoid aperture.

Figure 19F is a front view of a rectangular aperture.

#### DETAILED DESCRIPTION OF THE INVENTION

As shown in Figures 6A-D, in one embodiment of the device, a handheld scanner/transmitter is in the form of a keyfob. A typical weight and size of this embodiment of an MDATA is: 20 grams, 4.29 cm wide, 6.81 cm long and 1.40 cm high. The dramatic size and weight reduction over previous devices allows a user to carry the MDATA with them at all times, ready for use when an object of interest containing a machine readable symbology is encountered. The form and function of the body of the MDATA encourages proper usage in a range of natural low fatigue postures. A further embodiment, shown in Figures 6E-H is smaller and lighter, having approximate dimensions of 6.4 cm long, 2 cm high and 1.1 cm wide. This embodiment has a volume less than 14 cubic centimeters.

As shown in block form in Figure 1, this embodiment of the device comprises the elements of a scanner 20, an analog/digital digitizer 30, a processor 40, a memory 50, a battery 2, a switch 5, a Light Emitting Diode (LED) 10 and an internal individual electronic serial or ID number 60. Internally, the elements are interconnected via a printed circuit board.

In an optical embodiment, shown in Figure 18, scanner 20 includes an emitter 100, optical sensor/receiver 110, and a lens 120 to focus the optical signals. To increase depth of field, reduce ambient light noise and fix the allowed scanning directions, an aperture 130 may be used. Lens 120, may be spherical, capturing light from a wide angle. Other embodiments include the scanning of sound, RF, infra red, RFID or other data forms/signals. A digitizer 30 which may be an A/D converter, a wave shaper circuit or a software routine may be included to process signals from and to the scanner 20 into proper form for input or further processing by the processor 40 or backwards for output through the scanner 20. A decoder optimized within the processor 40 with software or firmware, translates the selected machine readable codes or control inputs.

In one embodiment, the machine readable codes include: ISBN, UPC-E, UPC-A, EAN-8, EAN-13, Code 39, Code 128 and I 2 of 5. To allow as large a range of codes as possible to be read within a limited size decoder routine, extensions of these symbologies and characters beyond the simple ASCII set can be omitted or substituted with spaces. Where 2d, charged coupled device (CCD) or image scanning is used, optical character recognition (OCR) may be used.

The switch 5 is used for turning on the device for scanning or initiating a data transfer. The switch 5 may be a capacitance type, a proximity sensor, an LED reflectance type or a mechanical switch. A mechanical switch with a tactile feedback ("snap" action upon activation) lets the user know each time the switch has been successfully activated.

The memory 50 is used for storing received data, prior to downloading. The memory may be an EEPROM, RFID or other form of static or dynamic memory. Removable memory "sticks" or "cards" may be used, providing unlimited data capacity.

As shown in Figure 2, the MDATA may use a transmitter/receiver other than the scanner 20 for output and receiving control/configuration commands. The transmitter/receiver communication means may take any form, including optical, direct electro-mechanical connection, infra red, sound or RFID.

In the case of RFID, RF or RFDC information transmission, the data may be transmitted by the Bluetooth, HomeRF, IEEE P802.15 or proprietary protocols in the 900 megahertz, 2.4 gigahertz or other frequency band.

Power management routines optimize the life of the battery 2. The MDATA is activated by pressing the switch 5. Once pressed the switch may be released, the power management routines taking over to automatically determine the deactivation of the MDATA. As shown in Figure 13, MDATA is activated by a single press on the switch 5. The MDATA will start and continue to operate whether the switch 5 is released or not during operation, freeing the user to focus on either scanning target data or monitoring a download. In the case of an optical data download, this allows the user to release the MDATA in the docking cavity, minimizing the chance that the MDATA will be disturbed thereby corrupting the communications. At power-up, the MDATA automatically tries to establish communication with the docking station (or other device). If within a certain timeout communications can be established, the data download process is initiated, all stored data is downloaded and the MDATA is shut down once the download has been completed. If no communication to the docking station (or other device) can be established after a certain timeout, the MDATA automatically switches into active scan mode. The communications attempt occurs so fast that the user will not notice any delay during an attempted data acquisition. In active scan mode the MDATA remains operational and in active scan mode until one of the following conditions is satisfied:

- 1) No active scanning action by the user has been detected for some time:  
Optical embodiments of the MDATA detect, for example, switches between "black and white" fields picked up by the scanner, RFID embodiments of the MDATA detect the presence of illuminated RFID tags in the RF field, indicating that the user is actively attempting to scan a code/detect a machine readable code. If active scanning is detected the active scan timeout counter is reset. If no active scanning action has been detected and a scan mode timeout has expired the device is turned off until the scan button is activated again.

- 2) A barcode/machine-readable code has been detected, successfully decoded and stored on the device. This is indicated by a "good read LED", or other audible cues, or vibrating device. After this cue the device is turned off and operation ceases until the scan button is activated again.

Also, after a delay period, the MDATA deactivates after repeated failed data transfer attempts.

The above procedure separates the different operating modes for active scanning and communication (time-multiplexing of tasks). This minimizes the current draw from the battery and extends battery life.

The battery 2 may be one or more standard power cells, for example lithium type, or a molded polymeric or elastomer battery which could be shaped to form the actual housing of the MDATA. For minimum cost of manufacture, the battery 2 may be sealed within the MDATA, not user replaceable. The power management routines allow a design operating life of three years (10,000 scans

and 500 data transfer events). Alternatively, the battery may be rechargeable or user replaceable. Charging circuitry may be integrated into the docking device.

The MDATA may contain a light, vibration and/or sound output means for user feedback. Feedback could be, for example, acknowledging to the user that an attempted scan of an object was successful. This output signal may also be utilized to acknowledge error status, a full memory and/or a cleared memory on the MDATA. An LED 10 provides an extremely low power consuming and rugged output means for user feedback.

As shown in Figure 3, the MDATA may use an RFID tag as a communication/transfer means, a storage means and as the location for the MDATA's electronic serial number. Data loaded onto the RFID by the MDATA can be read by an interrogator connected to a computer means. This form of data downloading is extremely MDATA power efficient, requiring only logic power from the MDATA, all data transfer energy is provided by the interrogator. A read-write capable RFID may be used to receive data sent to the MDATA by interrogator radio beams which are directed at or which the MDATA passes through. To allow scanning of RFID tags, the MDATA can incorporate an interrogator.

As shown in Figure 4, the MDATA may be configured to attach to a host computer means and thereby use the host computer means to obtain power, storage, direct download and software control functionality. The connection to the host computer means may be by any means providing direct connection to the host computers bus. For example, an MDATA may be built into or have a connection via a PCMCIA card, PC card, flash card, USB or proprietary connection, for example to a PDA, cell phone or optical mouse.

As shown in Figure 5, the MDATA may be integrated into a host computer means and thereby require only that a scanner 20 and Digitizer 30 (depending on the scanner 20 type) be included. All other necessary parts of the MDATA being supplied by existing parts of the host computer means or by software running on the host computer means. Examples of host computer means include PDA's, cell phones, data tablets, laptop computers and digital cameras.

Other MDATA embodiments may also contain an imager (digital camera) for processing two-dimensional information or taking digital photographs. In these embodiments many of the different MDATA components are already present, requiring only minimal additions and software/firmware to add MDATA functionality. In the case of a CCD, the device may include a two LED structure or a one LED structure. A focusing lens may also be included.

As shown in figure 11, in one embodiment, a user activates the MDATA by pressing the switch 5. If the user then exposes the MDATA to a machine readable symbology, the scanner 20 scans the symbology, passing it to the digitizer 30 and then to the processor 40 or temporarily buffered in memory 50. The processor 40 evaluates the scanned data to determine a symbology protocol. If the symbology is identified, the scanned data is decoded according to the symbology and placed in memory 50 in, for example, ASCII format. Further scanned/decoded data is placed in memory as separate records or as a single data stream separated by record identifiers. If the MDATA is able to decode and store the symbol in memory 50, a "good read" feedback, for example a two-second illumination of the LED 10, is made. Other user feedback may include a "memory full" flashing of the LED 10 and then de-activation of the device or scanning with a first in first out over writing of the previous scanned data. During data transfer via a docking device, further user feedback may indicate a proper connection has been made, that a transfer is in progress and successfully or

unsuccessfully completed. The docking device may also provide an LED, or other form of user feedback, for status indication, drawing power from the computer means and therefore minimizing the power drain on the MDATA's battery.

After scanning information into the MDATA, the MDATA may through direct electro-mechanical connection (cable or contacts), optically or RF transfer stored data to a computer device or may be inserted into a docking device which would accept the MDATA. In one embodiment, shown in block form in Figure 7, the docking device may be an optical reader which would accept optical output from the keyfob in the form of light or other wavelength signals (Irda, infra red, sound, RF, visible light) which transmit the information contained in the memory of the MDATA. The docking device would then be capable of transmitting the received information to a computer device by direct connection, RF, or light signals (Irda, visible light, or fiber optic). A scanner 20 receives and sends optical signals that, depending on the direction of communication, are digitized or output by an Analog-Digital converter 35 connected to a processor 40. The processor 40 controls an isolation relay 70 to which a cable 80 is attached linking the docking device to a computer means 100, normally a personal computer, PDA or internet console. An LED 10 controlled by the processor 40 serves as a user feedback means of connection status and transfer progress.

The docking device cable 80 may be connected to the computer device by any available transmission standard or proprietary I/O port (serial, parallel, USB, audio input, PCMCIA, IDE, ISA, PCI, SCSI, Firewire, optical), including the keyboard port. In other embodiments, the docking device may be in the form of a mouse device or other computer peripheral with a mating cavity which the MDATA could fit into. For an optical mouse, the mouse position change emitter / detector sensors may be controlled by a device driver into the software MDATA

client application. Built into a cell phone or PDA, the docking means may be an optical emitter/detector, Irda port or RFID interrogator circuitry.

One method for the docking device to connect to the computer is through the computer's keyboard port. The docking device could be integrated into the keyboard itself or placed inline with it via a wedge device. In another embodiment, the keyboard of a computer may itself include a docking device which would receive direct mechanical connection (cable or connectors), optical or RFID transfer from the MDATA and would be capable of sending control signals to the MDATA. The MDATA may further include a DIN/mini-DIN adapter.

The wedge device plugs into the computer's keyboard port (commonly a DIN or mini-DIN/PS-2 connector) and the keyboard and docking device both plug into the wedge device, allowing both to operate in turn, but not taking up any of the computer's available I/O ports or potentially creating a device conflict in the computer's operating system.

As shown in Figures 10A-C and 16, in one embodiment the docking device may be integrated into the wedge device to minimize overall cost and cabling complexity. The keyboard and computer keyboard port both connect to the docking device. Shown in simplified block form in Figure 8, this embodiment includes an optical interface 25, processor 40, LED 10 and switch 70. The switch 70 interrupts the keyboard connection with its computer, allowing the MDATA to take-over the keyboard port without risk of an errant keystroke interrupting communications between the MDATA and software running on the computer coordinating data transfers. When communications are complete, the keyboard is re-enabled.

As shown in Figure 9, through the docking device, the MDATA is capable of transmitting data signals, and then receiving data transmitted back through the keyboard port of a host computer means, for example a personal computer or internet portal device. Backward flow of information from the computer means to the MDATA is accomplished by utilization of the standard keyboard "caps lock", "num lock" and "scroll lock" feedback signals used to energize status LEDs on keyboards.

One embodiment of a data transfer method for a keyboard wedge type docking device is shown in Figure 12. Upon insertion into the docking device, the user activates the MDATA by pressing the switch 5. The MDATA's initial power-up communications attempt activates the Docking device which then activates switch 70, isolating the keyboard. The docking device then sends a hot key sequence that a host computer means pc/client application monitoring the keyboard buffer detects and acknowledges by sending a keyboard status command to the docking device. The docking device then signals the MDATA to send it's stored data records. The MDATA then sends an information record containing its identifying information and version along with a count of the data records to be transmitted. The MDATA then transmits the data records, receiving an acknowledgement for each one from the docking device which passes the data records to the pc/client application. To signal end of transmission, the MDATA sends an empty record. The pc/client or docking device then checks the received record count against the number initially indicated by the information record transmitted from the MDATA. If the number of records matches, a good transfer signal is sent to the MDATA which then clears its memory and shuts down. If the number of records does not match, the MDATA is sent a bad transfer signal and the transfer routine is restarted. To prevent the MDATA battery from being drained, a limited number, for example two, transfer attempts are made before the MDATA shuts down, after resetting its memory.

The MDATA may be provided with firmware, which includes individual serial numbers, codes for security, data protocols and error checking. If an RFID is used, the RFID may incorporate the serial number at time of manufacturing. Individual serial numbers provide a unique link between the MDATA hardware device and the data transferred and or transactions performed. MDATA serial numbers registered to individuals allow secure transactions and data mining opportunities.

The device is able to scan a wide range of tag formats/symbologies/protocols allowing retrieval of information from existing product packaging without substantial modification or expense by manufacturers. In an optical embodiment, as shown for example in Figures 17A, 17B, 17C and 18, arrangement of the optical components in the scanner 20 and use of an aperture 130 increases the depth of field of the scanner (discussed below), allowing the scanning of symbols covered by shrink-wrap packaging, or for example, symbols within typical plastic compact disc (CD) enclosures for music, video or software CD's.

Wand or contact type optical scanners have previously required the medium on which the symbols, typically barcodes, are printed to be in contact with the light collecting lens of the scanning device. Depth of field refers to the capability of a scanner to successfully scan and decode barcodes even if scanned at a distance, above or below the expected location of the medium. In optical embodiments utilizing an LED or other point source wave (visible or invisible wavelengths) generator as the illumination means, this capability is created by the addition of an aperture to the optical arrangement. The position of the aperture in the optical path between light-collecting lens and photo receptor determines the optimal point for the light collection and hence the ideal focal plane at which the barcode holding medium should be placed for scanning. The form of the aperture opening

determines the direction in which the scanner can operate and properties relating to ambient light rejection and noise-sensitivity. Previously, aperture types have only been "slit-types" permitting only uni-directional scanning. Use of bi-directional or omni-directional apertures adds bi-directional and omni-directional scanning capability to the scanner. Examples of aperture openings and associated scanning directions are:

1. Uni-Directional Scanning Apertures with one scan direction, for example vertical, (Slit aperture) as shown in figure 19A.
2. Bi-Directional Scanning Apertures with two scan directions, for example vertical and horizontal, as shown in figures 19B and 19C.
3. Omni-Directional Scanning Apertures with scanning permissible in all directions as shown in figures 19D, 19E and 19F.

Additional or alternative scan directions can be generated by combination as well as rotation of the above described patterns. Size of the openings will determine the available resolution for symbols, for example barcodes, as well as noise and ambient light rejection properties.

An MDATA may also be configured to read data from an LCD, digital or analog CRT video displays. In this embodiment or mode, the emitter may be disabled and the light emitting versus dark areas of the display surface scanned. The ability to scan active displays allows quick and easy loading of computer generated data into the MDATA without requiring a paper print out of, for example, bar codes which are then scanned. In the LCD/CRT scanning capable embodiment, MDATA users may scan dynamic data at the point where they accept, for example, a changing price for a sale or auction item.

To allow wide usability on existing product packaging, the MDATA can decode a wide range of commonly used symbologies. In one embodiment, the bar code decoding algorithms package from an Intermec 9730 Wedge Decoder may be used. Depending on memory size the MDATA may be capable of storing, for example, one hundred (100) scans of information into its memory. It may also be capable of transmitting bar code identifiers and or the MDATA serial number along with data. It may also send and receive encrypted data.

The MDATA may be used as a component in a complete data acquisition and retrieval system. For example, as shown in Figure 14, an internet 200 or other remote accessible data source linked host computer means 210 with a docking device 220 connected, for example via a wedge device 230 attached between the host computer's keyboard 240 and the host computer 210, or a docking device integrated with a wedge device, is used to receive data gathered with an MDATA 1. This data is then usable for linking the user to specific internet or other remote accessible data sources. Data may be downloaded to the host computer means for immediate review, storage and later review or piped directly to the users video 250 and or audio outputs, for example to show a video relating to the scanned data.

In a further embodiment, as shown in Figure 15, the MDATA 1 may be integrated with or coupled to a cell phone or wireless enabled PDA 260. In this embodiment, a system is created with direct access capabilities from the MDATA through the cell phone or PDA into the internet 200 or other remote database, for sending and receiving information. In this embodiment, the consumer would have direct access to information relating to the scanned items at the instant of scanning the items in a retail establishment. This embodiment relies on the existing cellular phone infrastructure and does not require an expensive local wireless network be

created, dedicated to the MDATA. To minimize expense, the cellular phone or wireless enabled PDA device need only be configured with a docking device or docking device functionality which the MDATA could then transfer data to.

Retail establishments can provide MDATA's to shoppers and place docking-enabled kiosks in the store so that shoppers could retrieve detailed product or service information while still on the premises, or from the kiosk preview or view information and then email it to their personal email accounts for later access.

Data scanned into the device can be encrypted so that it is only downloaded/retrievable by its user, and only used with the intended information retrieval service. Encryptions could be by a simple look-up and translation table stored in memory or firmware or by more complex algorithms. The encryption "key" may be configured to be changeable by scanning or uploading a new one into the MDATA or as a timed function relating to a fixed number of scans and/or downloads which could be used to initiate an upload of a new encryption key. The encryption protocol or key may be configured to change upon each downloading based, for example, upon a time code and/or the individual units serial number.

A further method of use allows a consumer to identify a desired product at a conventional sales outlet and by scanning its datatags/symbology, uniquely identify that item whereby the consumer can then search the internet for competitive pricing on identical products through e-commerce web sites and still be assured he is getting pricing on the identical item he was able to physically inspect at the initial conventional sales outlet. The unique serial number of each MDATA allows tracking of eventual purchases for data mining applications and credit systems for sales outlets that exposed the consumer to the product eventually purchased through e-commerce.

MADATA's may be alternatively configured to interact with each other, transferring specific scanned or otherwise loaded data records between units. This may include sharing contact information between individuals, replacing business cards/phone numbers easily misplaced and lost.

The MADATA may also be used in local settings. For example, an MADATA may be used as an order entry device. Employees or customers can select items from a database (catalog, menu, flyer) scan an associated symbol therein, and then have a detailed order filled without requiring repeated entry of the desired items description, thereby speeding the order process and controlling the exact time of order entry and avoiding order errors. The low price per unit of the MADATA concept would allow, for example, a restaurant to have an MADATA at every table for the customers to scan desired items out of a menu. Customers could then efficiently place their order by initiating an order download of the MADATA order-information without need for service staff to be available, or if available to anticipate when the customer was ready to order. If the MADATA's are broken or stolen, the low cost per unit minimizes replacement costs. Encryption of data when stored in memory and then transferred to the host computer prevents the MADATA from being a desirable object apart from its designated use, minimizing theft and or alternate use of MADATA's left unprotected, or distributed at or below cost as part of a subscription or advertising revenue business model proprietary data retrieval service.

Further, the MADATA has applications as a security device. In automobiles, for example, currently a fixed radio signal is often used in remote keys - this signal may be recorded or looked up from a manufacturer's reference. With the MADATA, a new security code or code switching algorithm could be uploaded into the MADATA by scanning at any time. Complex codes could be transferred in symbol

form between users so that physical keys need never be exchanged to authorize other people's access. This allows key authorization via email or telefax. In use, the MDATA dock could be the "keyhole" of the secured item (automobile). The transfer method could be RF, RFID, electro-mechanical or optical - optical being extra secure as it would be very difficult to observe/record as compared to RF. If desired, the internal identification number of an MDATA may be used to make sure only one physical device could open a secured item, and the codes would be used for example to set time periods where access is granted - these codes being easily changeable by re-scanning them as often as desired.

An MDATA could be used as a verification means. A code transmitted electronically, when scanned into an MDATA with the correct identification number (previously known by the code creator) would ensure, for example, that a courier is turning his shipment over to the correct entity.

The verification means of the MDATA also lends itself to use as a smart credit card. Equipped with an RFID, an MDATA may be used to scan desired items as they are collected and upon passing through an interrogator gateway or other download means, the items are tabulated via a download of the MDATA's RFID and an electronic account linked to the MDATA's serial number debited. This method offers instantaneous checkout and saves the expense of having an RFID attached to each and every individual product and automatically registers (debits) the user upon their exit. Where a user is in, for example, a amusement park that may use the MDATA as a payment means the users statistics and experience levels may be stored on the MDATA to allow them to pick up at MDATA enabled video games at the same game level upon their return.

MDATA's may be built into consumer goods, for example toys. In a "smart" toy dog for example, new characteristics or scenarios may be loaded by merely scanning in a specific code.

Used in scan and remotely deliver scenarios, the MDATA enable a storefront to only maintain a stock of one each of a wide range of products to allow consumer inspection or even just product descriptions as in a catalog which a consumer may inspect and if desired, scan an associated symbol whereupon the scanned product is delivered to a specified location and or the users electronic account debited.

The MDATA has numerous consumer uses, providing scanning and data transfer technology in a user friendly manner at a previously unavailable price level. Individual libraries, collections of books, coins, cards etc. may be economically cataloged by individuals where previously this equipment was prohibitively expensive.

The present invention is entitled to a range of equivalents and is to be limited only by the following claims.

**Claims**

We claim:

- 1) A mobile machine readable data acquisition apparatus comprising:
  - a housing,
  - a power means,
  - a scanning means for scanning a machine readable symbology,
  - a digitizer means receiving input from said scanning means and outputting a digital input signal for
  - a processor means having a machine readable symbology identification and decoding function which is linked to
  - a memory means for local data storage;said scanning means, said digitizer means, said processor means, said memory means and said power means arranged and configured within said housing, adapted to be energized by said power means.
- 2) The apparatus of claim 1 wherein:  
said apparatus includes an electronic serial number.
- 3) The apparatus of claim 2 wherein:  
said electronic serial number is located on an RFID circuit, and  
said electronic serial number is permanently set at the time of manufacture of said RFID circuit.
- 4) The apparatus of claim 1 wherein:  
Said memory is an RFID circuit.
- 5) The apparatus of claim 4 wherein:

Said RFID circuit is operable as a transmitter.

6) The apparatus of claim 4 wherein:

Said RFID circuit is operable as a receiver.

7) The apparatus of claim 1 wherein:

said processor means further comprises an encryption function for encrypting machine readable symbol data decoded by said processor.

8) The apparatus of claim 7 wherein:

Said encryption function is a translation table.

9) The apparatus of claim 7 wherein:

Said encryption function is a mathematical algorithm.

10) The apparatus of claim 7 wherein:

Said encryption function is configurable.

11) The apparatus of claim 1 wherein:

said scanning means comprises an optical sensor/receiver, and a lens to focus optical signals.

12) The apparatus of claim 11 wherein:

Said scanning means further comprises an emitter.

13) The apparatus of claim 11 wherein:

said scanning means further comprises  
an aperture between said lens and said optical sensor/receiver.

14) The apparatus of claim 13 wherein:

Said scanning means has a depth of field which enables the scanning of symbologies located within a Compact Disc case through said Compact Disc case.

15) The apparatus of claim 13 wherein:

said aperture is a slit, enabling uni-directional scanning.

16) The apparatus of claim 13 wherein:

said aperture has a cross or star form, enabling bi-directional scanning.

17) The apparatus of claim 13 wherein:

said aperture has a circle, ellipsoid or rectangular form, enabling omni-directional scanning.

18) The apparatus of claim 13 wherein:

said apparatus has download means for downloading decoded machine readable symbols stored in said memory,

19) The apparatus of claim 18 wherein:

said download means is via modulation of said emitter.

20) The apparatus of claim 18 wherein:

said download means is via an electro-mechanical connection.

21) The apparatus of claim 18 wherein:

said download means is via an RFID.

21) The apparatus of claim 1 wherein:

said apparatus has a total weight of 20 grams or less..

22) The apparatus of claim 1 wherein:

    said apparatus further comprises at least one switch, said switch operable to initiate an apparatus function with a single actuation, without requiring continued actuation of said switch.

23) A data transfer apparatus comprising:

    a housing having a data acquisition device mating cavity arranged and configured to receive a data acquisition device,  
    a data transfer means, associated with said mating cavity for communication with said data acquisition device, and  
    a connection means for interconnection and communication with a host computing means.

24) The data transfer apparatus of claim 23 wherein:

    said data transfer means is an optical emitter/detector connected to an analog to digital converter which is connected to a processor.

25) The data transfer apparatus of claim 23 wherein:

    said connection means is via a connection between a keyboard port of said host computing means and a host computer keyboard.

26) The data transfer apparatus of claim 25 further comprising:

    a switch means for interrupting a connection between said host computing means and said host computer keyboard.

27) The data transfer apparatus of claim 25 wherein:

said connection means supports two-way communication by utilization of a keyboard status indicator signal.

28) The data transfer apparatus of claim 27 wherein:

said keyboard status indicator signal is num lock, caps lock and or scroll lock.

29) The data transfer apparatus of claim 23 wherein:

said housing is integrated into a host computer keyboard.

30) The data transfer apparatus of claim 23 wherein:

said housing is integrated into a host computer.

31) A method for transferring data between a mobile data acquisition apparatus

and a host computer, comprising the steps of:

connecting a mobile data acquisition apparatus with a docking device connected to a host computer between a host computer keyboard port and a host computer keyboard;

activating said apparatus, whereby said apparatus transmits a signal indicating the presence of said apparatus to said docking device;

said docking device senses said signal from said apparatus and transmits a hot key interrupt sequence to said host computer;

a software running on said host computer, monitoring said host computer keyboard port transmits a status indicator signal to said docking device;

said docking device transmits an acknowledgement signal to said apparatus;

said apparatus transmits an information record, indicating the number of data records stored in a mobile data acquisition apparatus memory;

said apparatus transmits said data records to said docking device which passes said data records to said software application running on said host computer;

said software counts the number of said records; if the number of said records is equal to said information record said software transmits a successful transfer signal to said docking device which passes said signal to said apparatus.

32) The method of claim 31 wherein:

said apparatus and or said docking device send empty records to indicate an end of record transmission.

33) The method of claim 31 wherein:

upon receipt of said successful transfer signal said apparatus clears said mobile data acquisition apparatus memory.

34) The method of claim 31 wherein:

said data records are transmitted from said apparatus in encrypted form, and

said data records are unencrypted by said software running on said host computer.

35) The method of claim 31 wherein:

an apparatus identification code downloaded.

36) A re-configurable electronic key, comprising:

a housing,

a power means,

a scanning means for scanning a machine readable symbology,

a digitizer means receiving input from said scanning means and outputting a digital input signal for

a processor means having a machine readable symbology decoding function which is linked to

a memory means for local data storage;

an output means, for outputting a stored key sequence.

said scanning means, said digitizer means, said processor means, said memory means and said power means arranged and configured within said housing, adapted to be energized by said power means.

said key configurable by scanning a machine readable symbology.

37) The key of claim 36, wherein:

said scanning means is an optical scanner,

said output means is an optical emitter.

38) The key of claim 36, wherein:

said output means is an RFID.

39) The key of claim 36, further comprising:

an electronic serial number.

40) A method for using an electronic key, comprising the steps of:

associating an access right with a user;

scanning a symbology describing said access right into an electronic key;

providing said key to said user;

allowing access to said user upon said users downloading of a memory in said electronic key containing said access right.

41) The method of claim 40 further including the steps of:

associating a serial number with said symbology;  
allowing access only if said key contains an electronic serial number  
matching said serial number.

42) The method of claim 40 further including the steps of:

transmitting said symbology from a remote location for said user to scan  
into said key upon receipt.

43) A system for acquiring information, comprising:

a mobile data acquisition apparatus with a scanning means for reading a  
machine readable symbology and a memory means;  
a host computer means with a connection to a remote information source;  
a communication means for information transfer between said apparatus  
storage means and said host computer means;  
said apparatus arranged and configured to use said scanning means for scanning  
a machine readable symbology;  
said symbology identifying an object for which information is desired;  
said host computer arranged and configured to receive information from said  
remote information source associated with said symbology.

44) The system of claim 43 wherein:

said apparatus further comprises  
a housing,  
a power means,  
a digitizer means receiving input from said scanning means and outputting  
a digital input signal for  
a processor means having a machine readable symbology identification  
and decoding function which is linked to said memory means;

said scanning means, said digitizer means, said processor means, said memory means and said power means arranged and configured within said housing, adapted to be energized by said power means.

45) The system of claim 43, wherein:

said apparatus and said host computer means are integrated into a cellular telephone.

46) An electronic device configurable via a machine readable symbology, comprising:

a scanning means for scanning a machine readable symbology linked to a processor means controlling said electronic device;  
said symbology containing configuration data which determines an operating mode of said electronic device.

47) The device of claim 46 wherein:

said scanning means is an optical scanner with an emitter and a detector.

48) The device of claim 46 wherein:

the device is a consumer smart toy.

49) A two-way communication method for a device attached to a computer keyboard port and a computer, comprising the steps of:  
receiving information into a computer keyboard port;  
responding with at least one keyboard status indicator signal.

50) The method of claim 49, wherein:

said keyboard status indicator signal is  
num lock,

caps lock, and or

scroll lock.

51) The method of claim 49, wherein:

said device is connected between a keyboard and said computer.

52) The apparatus of claim 1, wherein

said apparatus has a volume of 14 cubic centimeters or less.

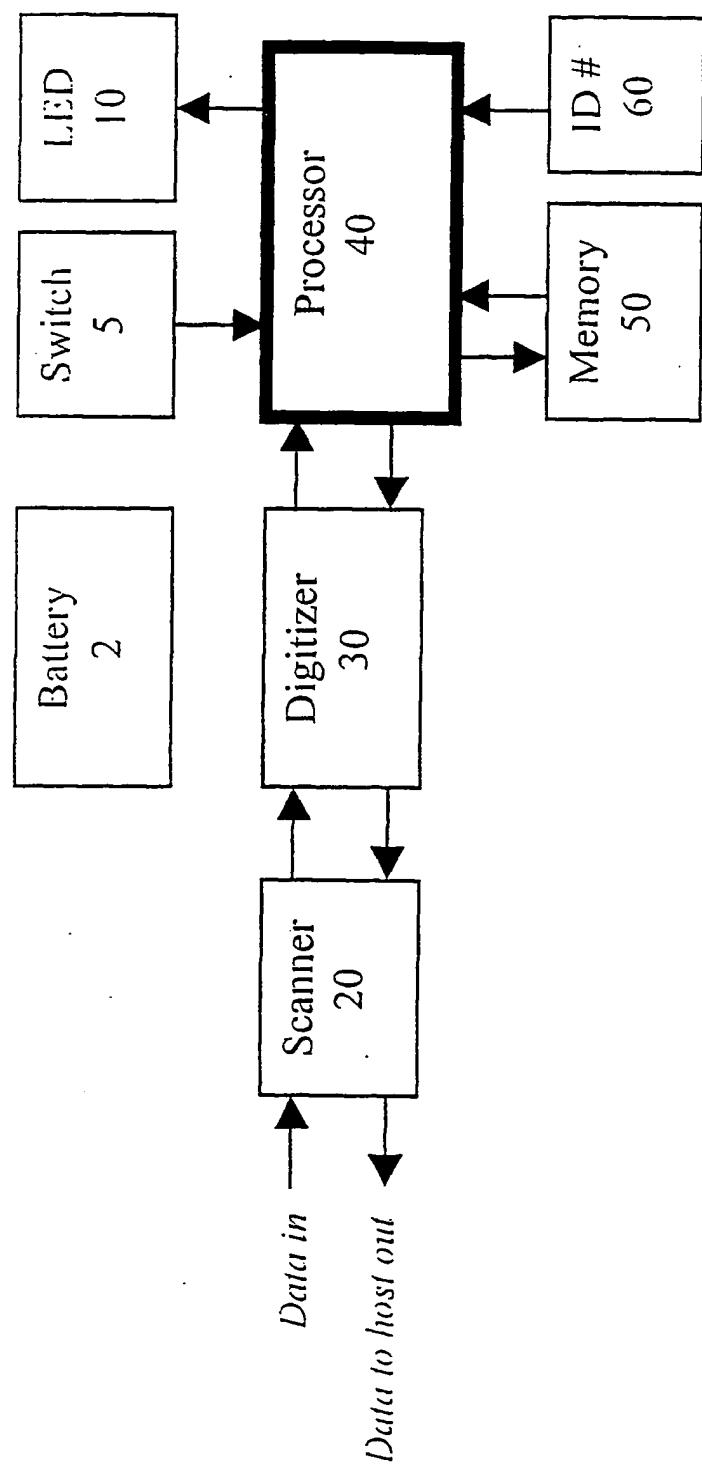


Figure 1

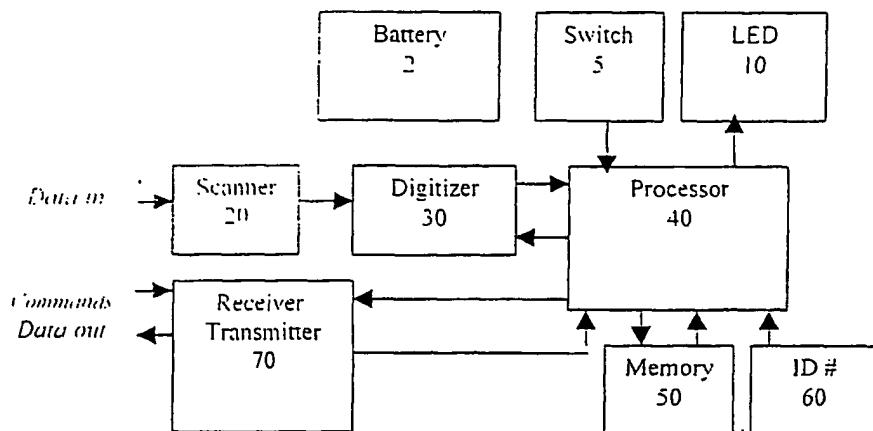


Figure 2

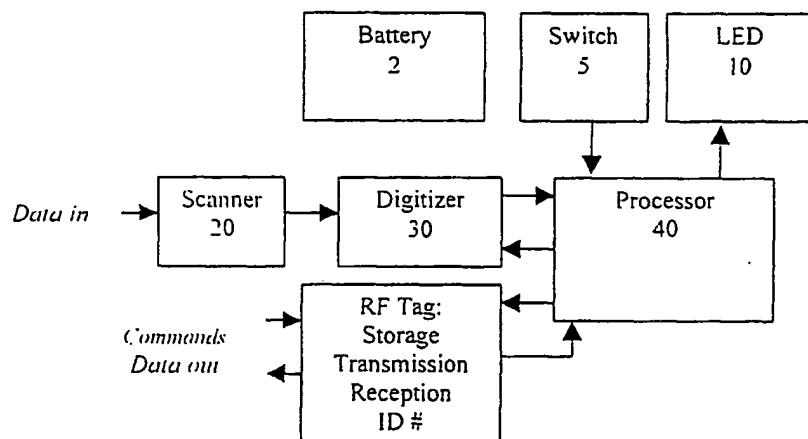


Figure 3

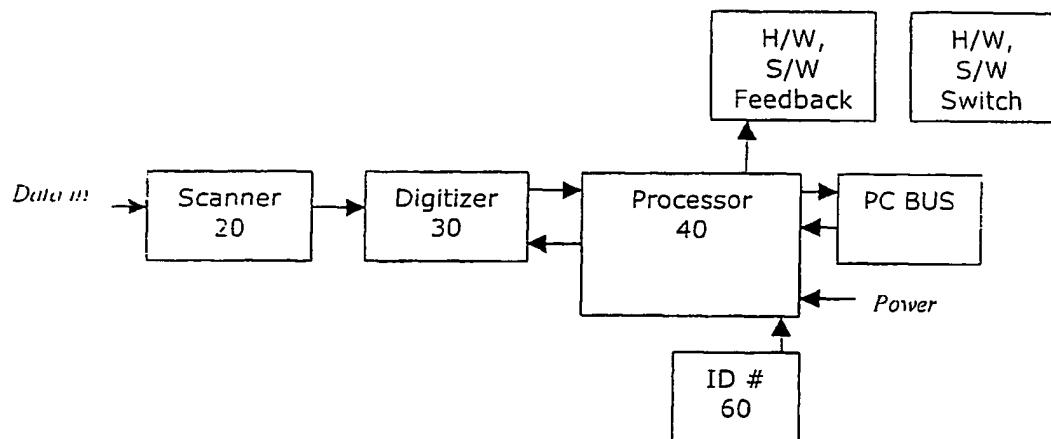


Figure 4

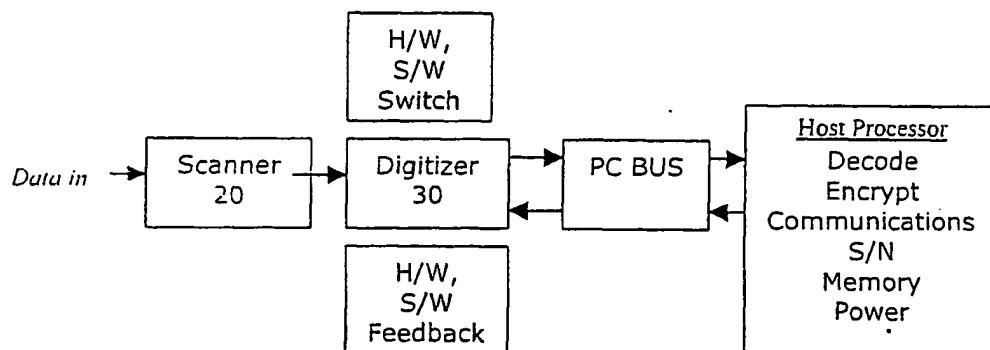


Figure 5

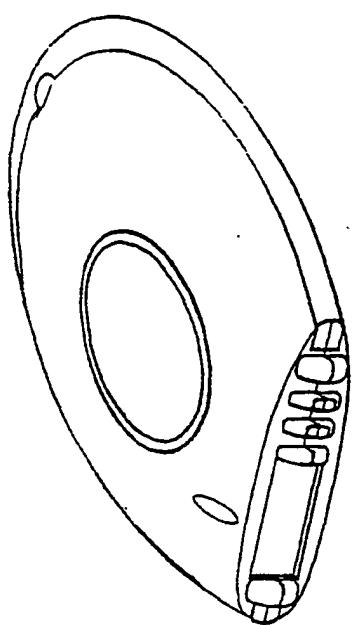


Figure 6B

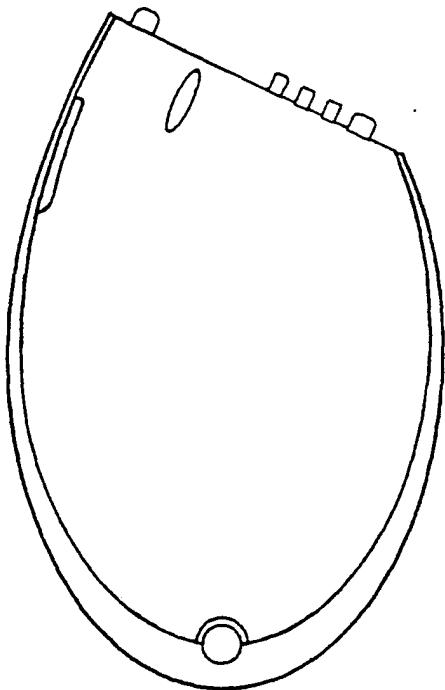


Figure 6D

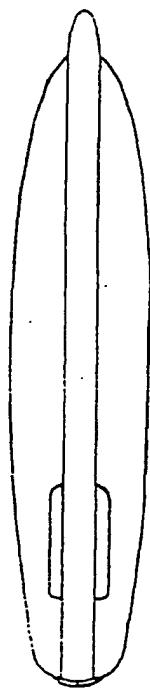


Figure 6A

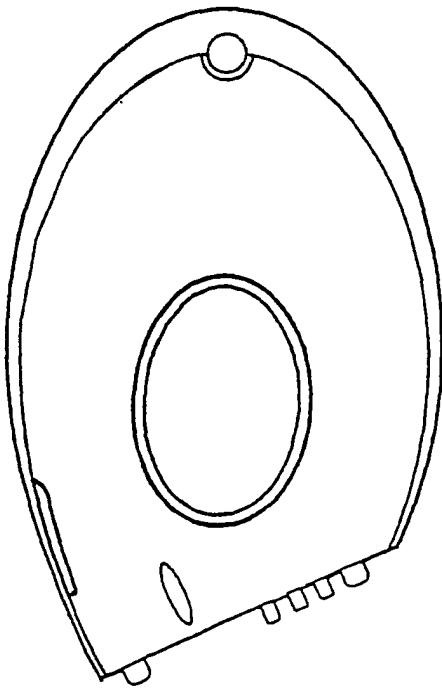


Figure 6C

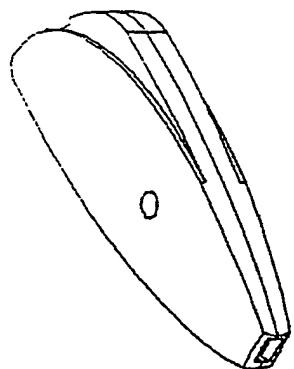


Figure 6E  
Figure 6F

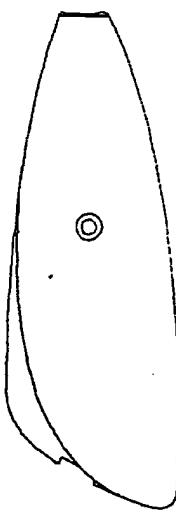
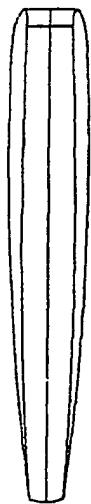
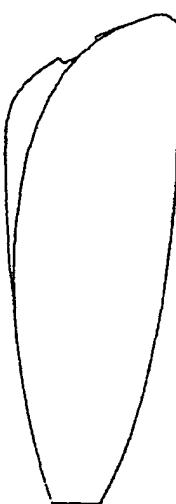


Figure 6H  
Figure 6G



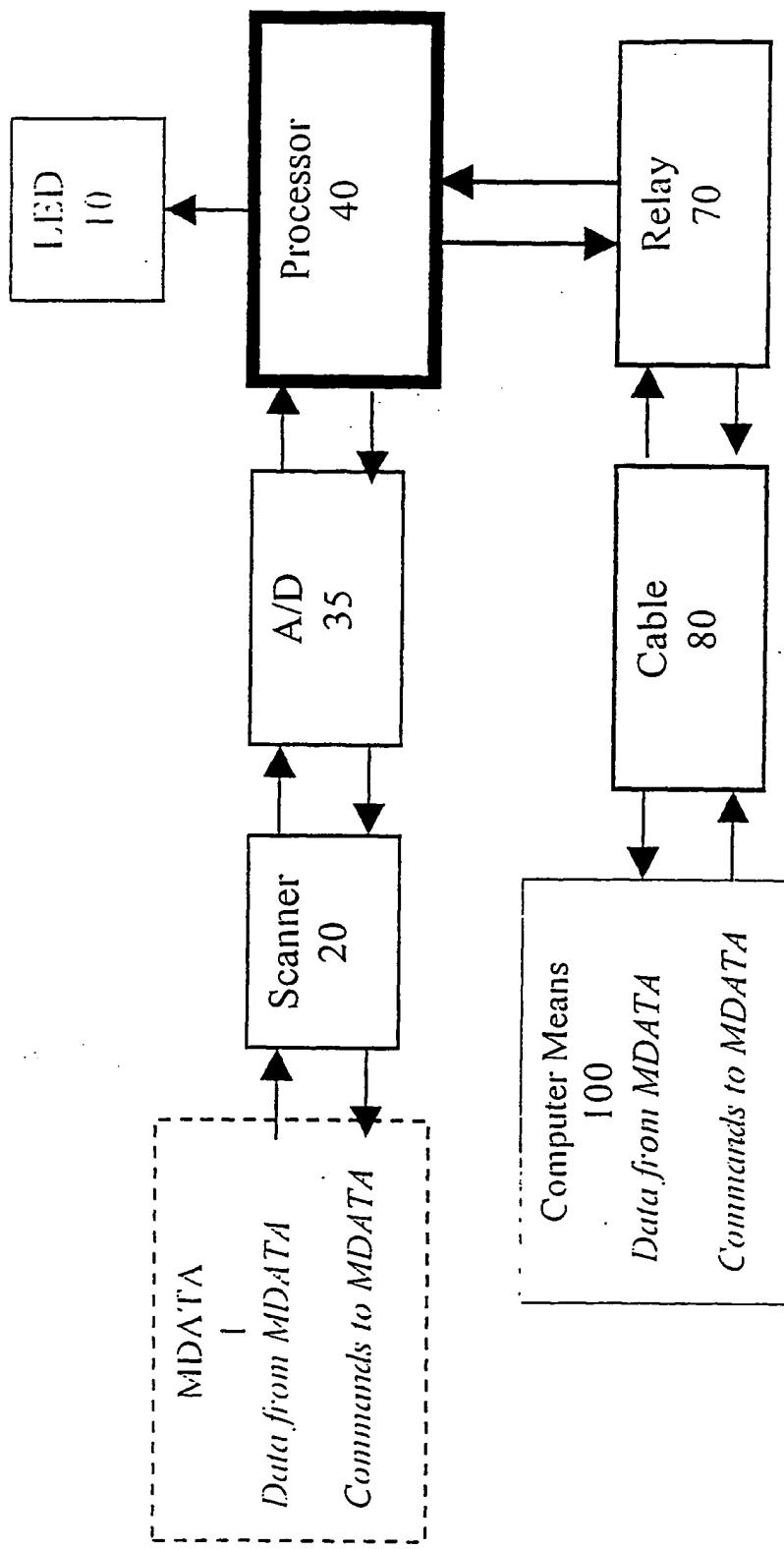


Figure 7

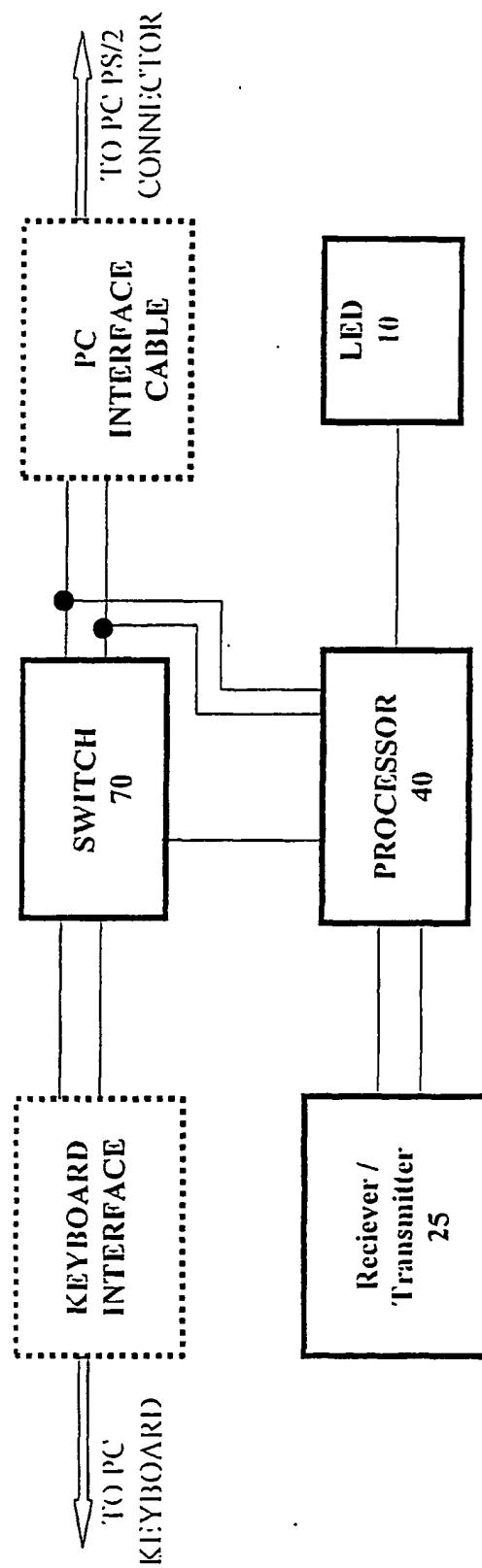


Figure 8



Figure 9

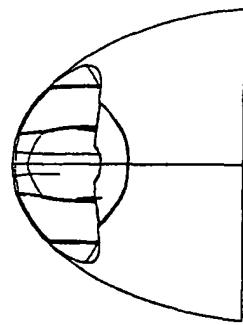
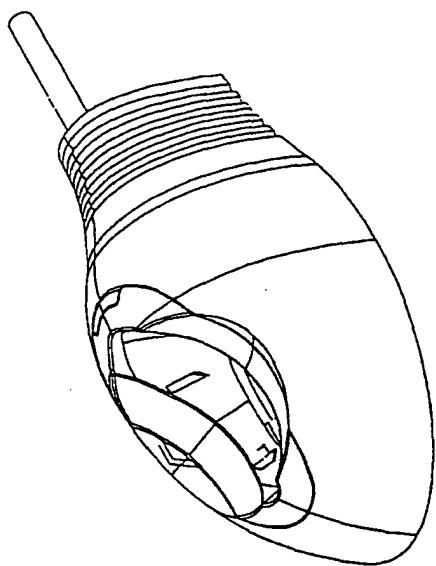


Figure 10C

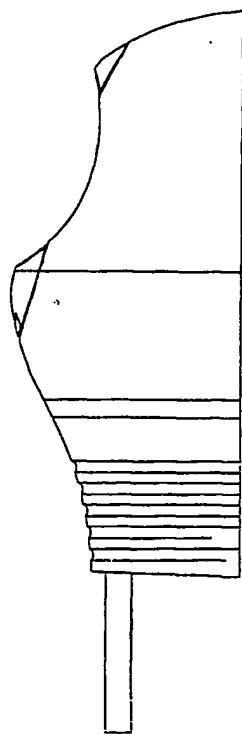


Figure 10B

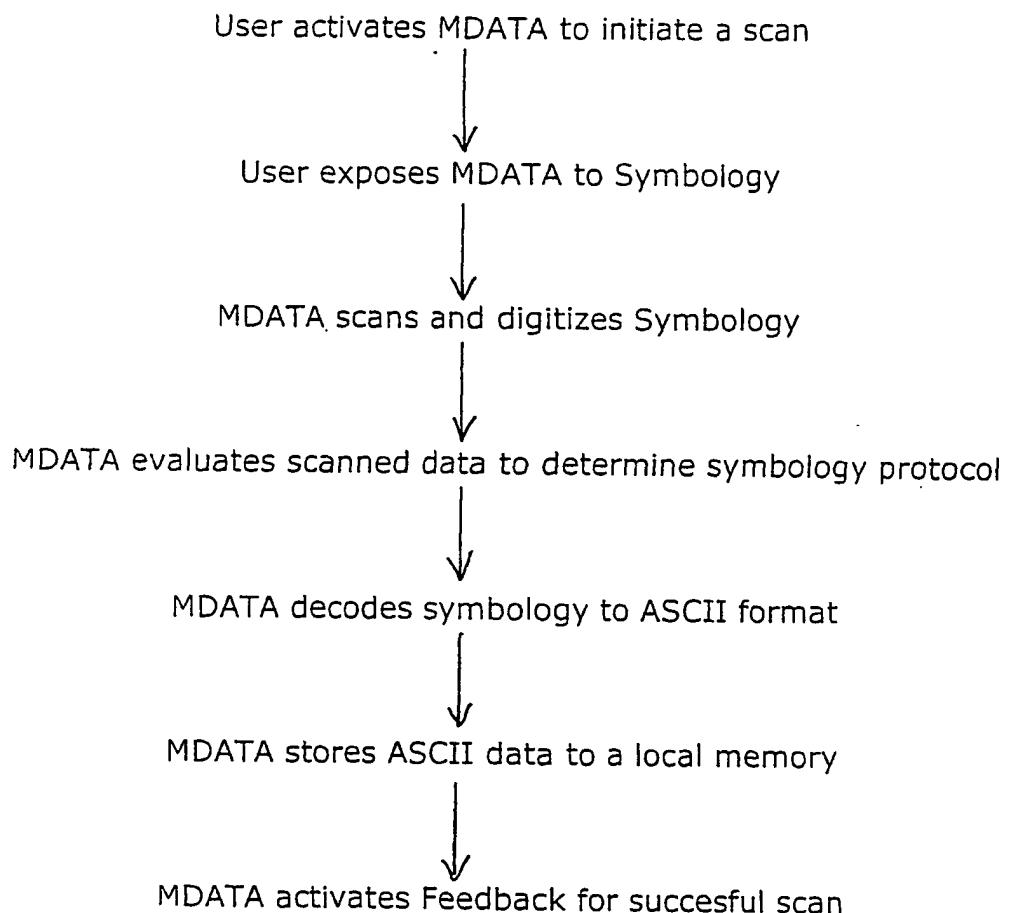


Figure 11

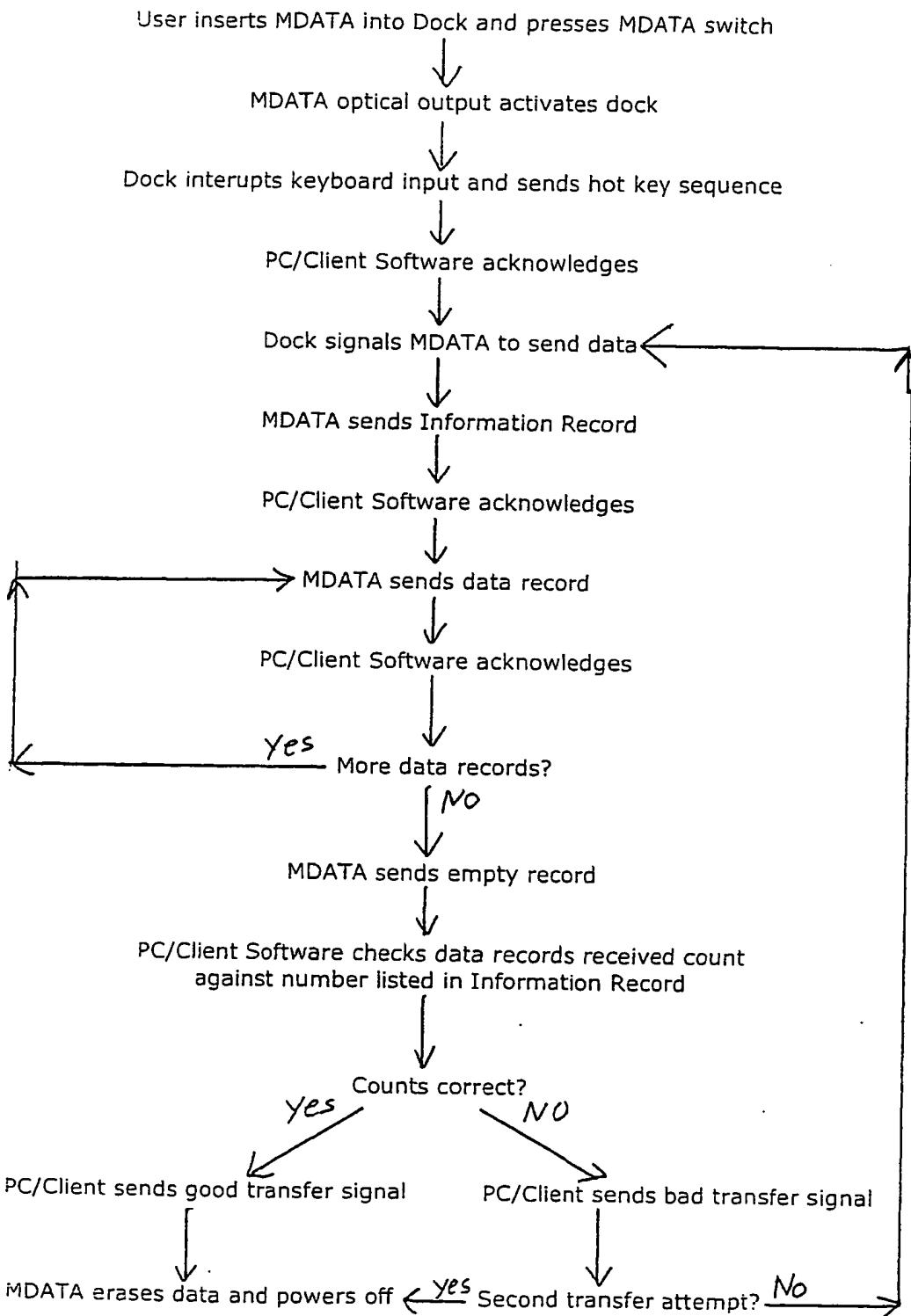
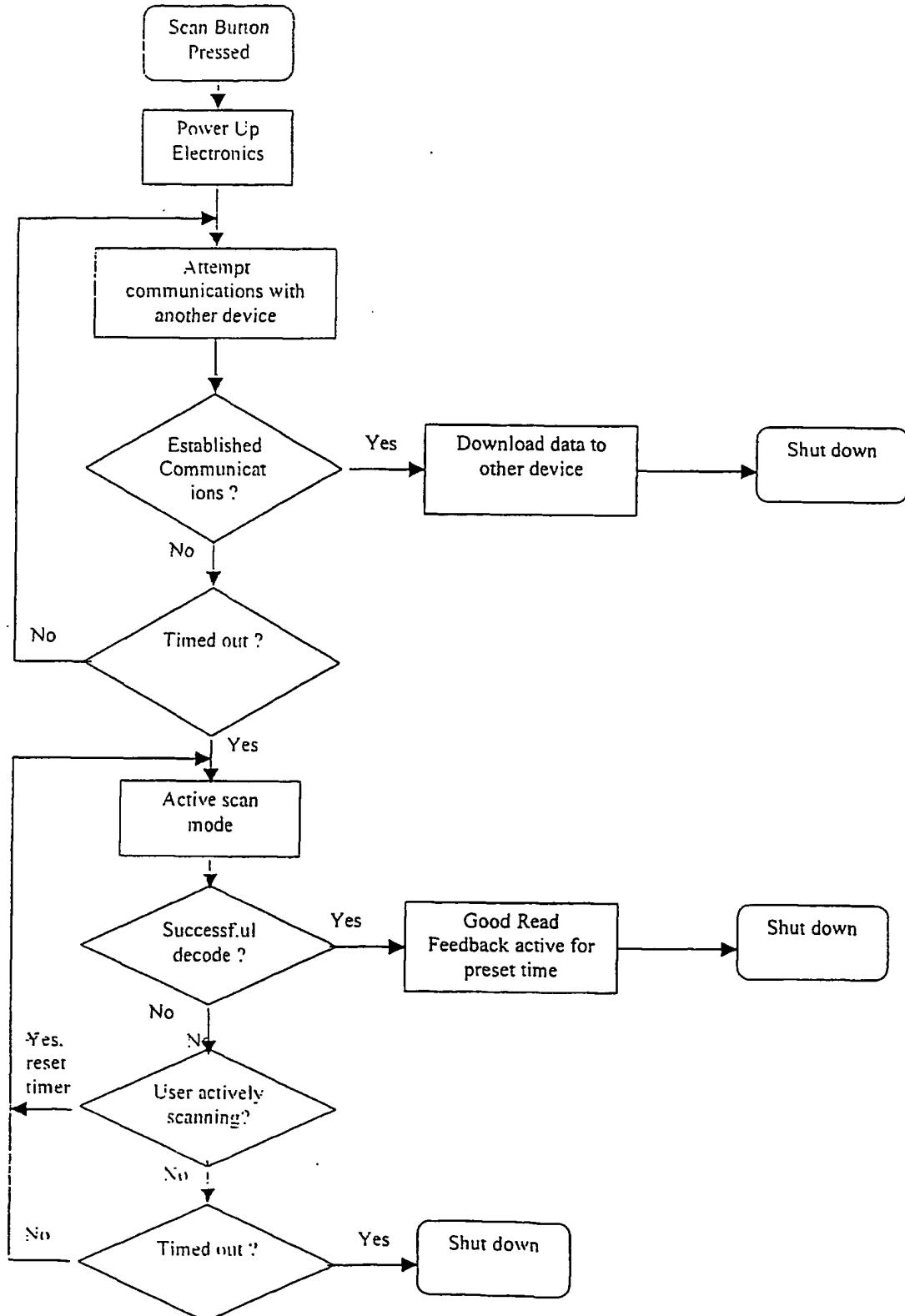


Figure 12

Figure 13



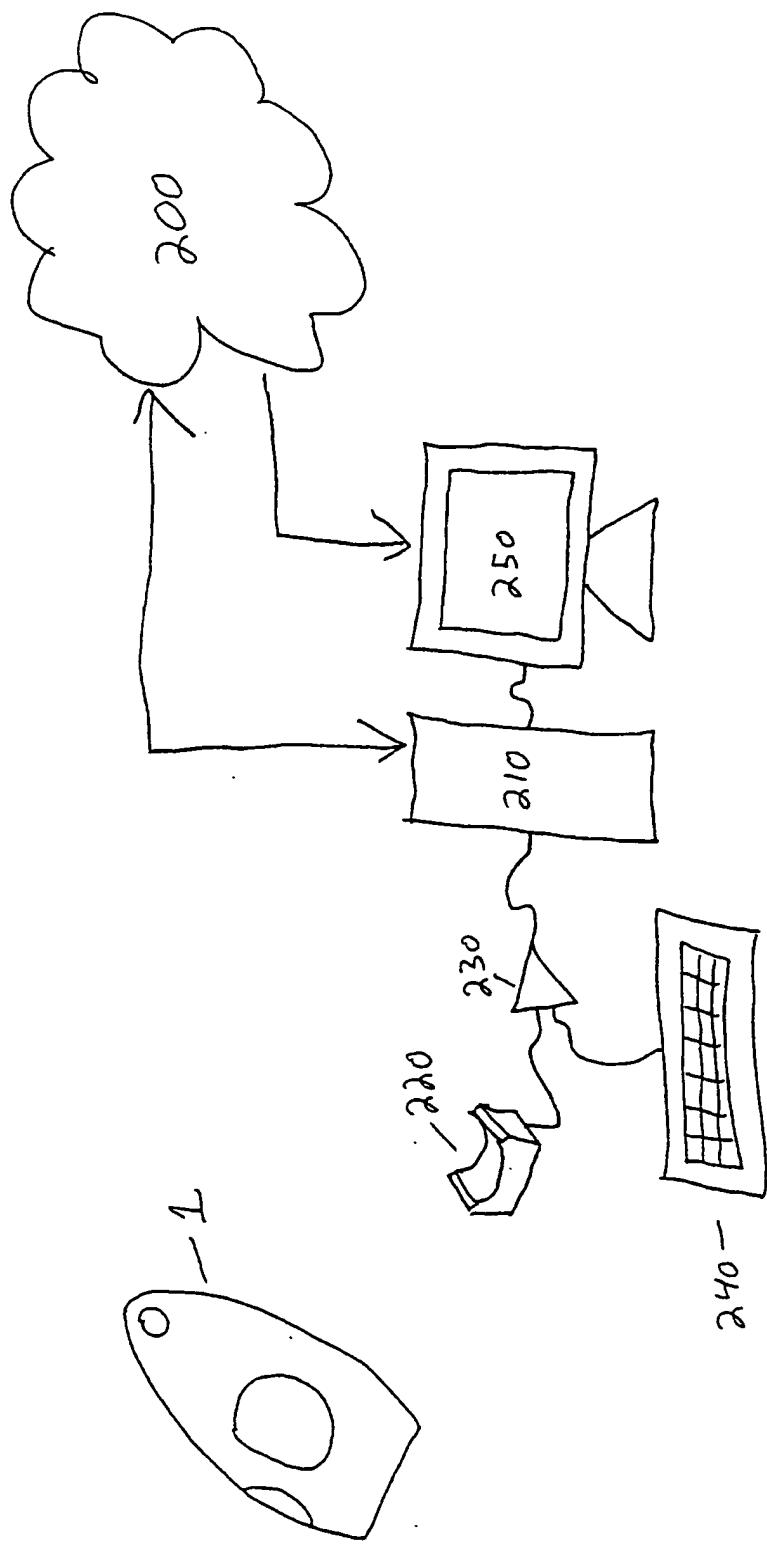


Figure 14

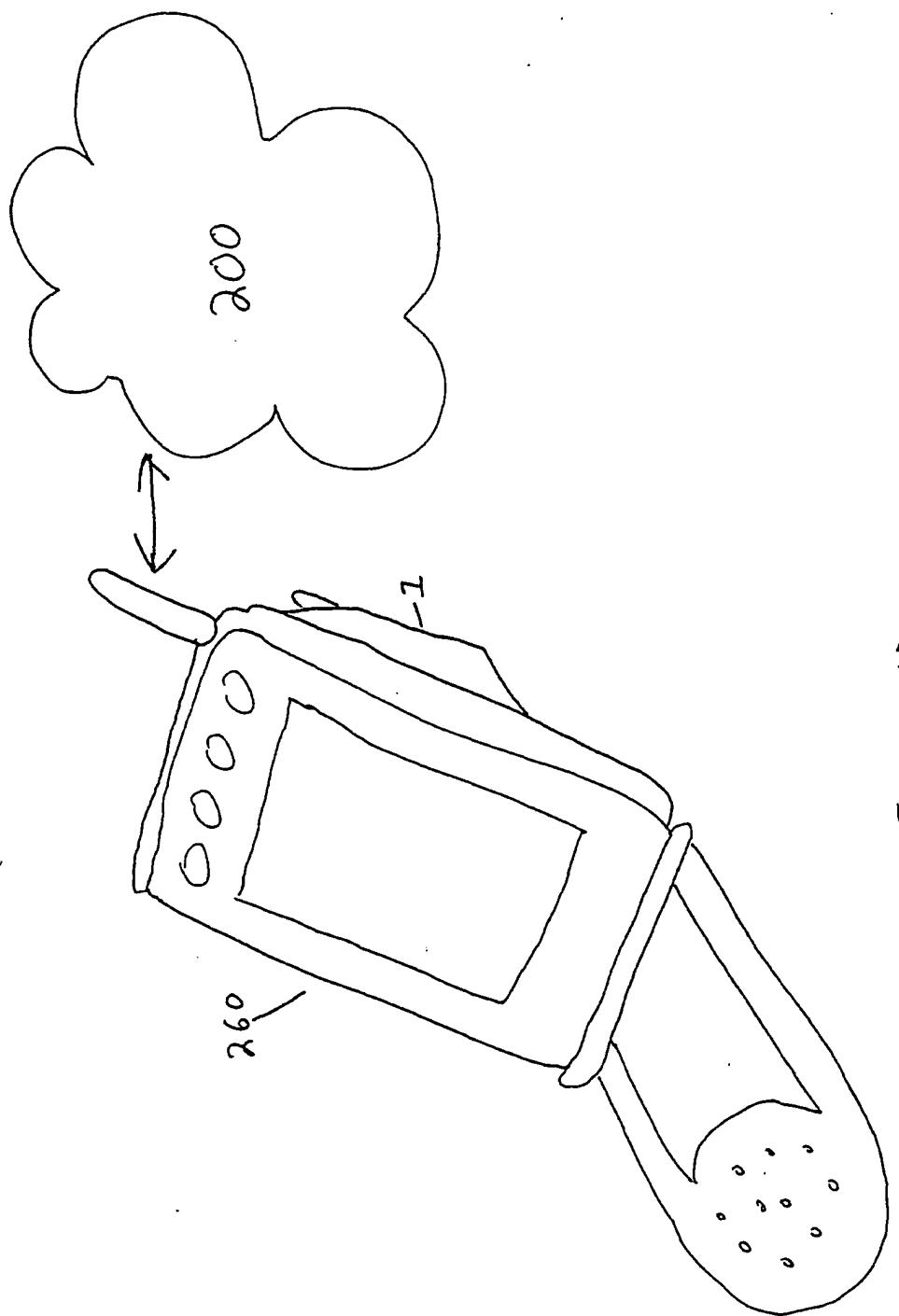


Figure 15

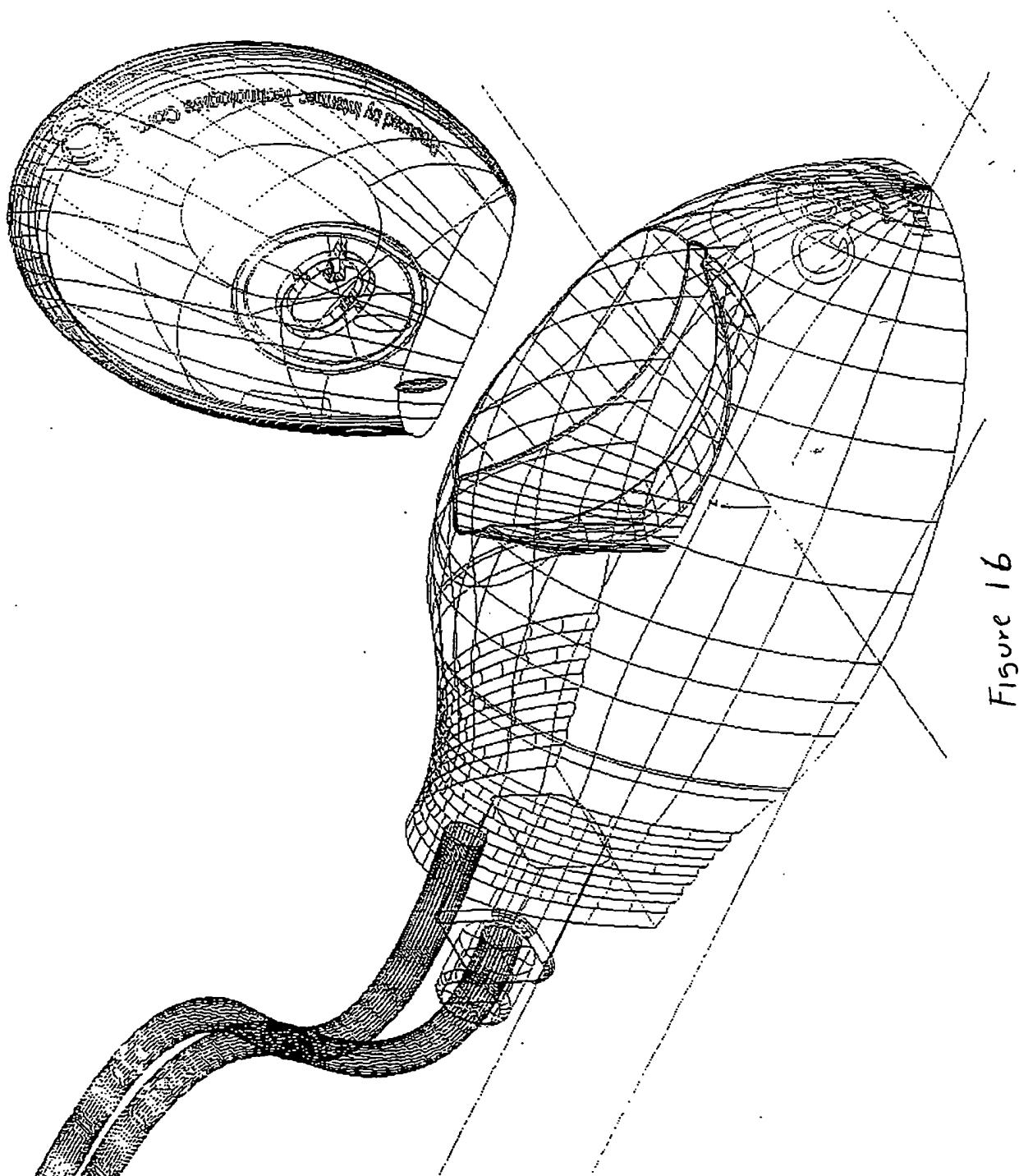


Figure 16

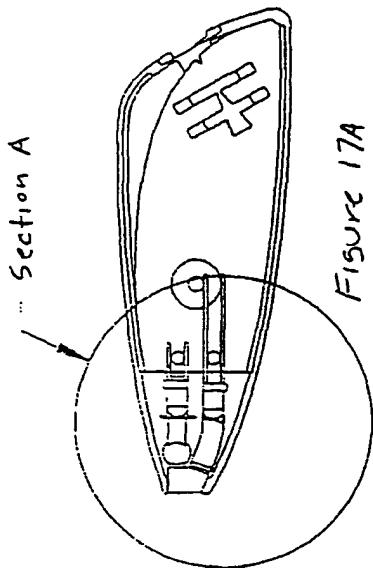


Figure 17C

Figure 17B

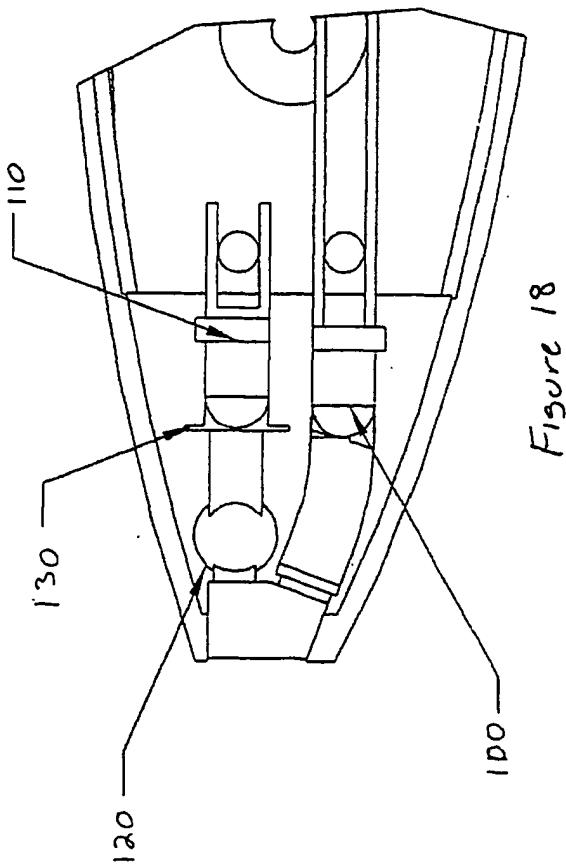
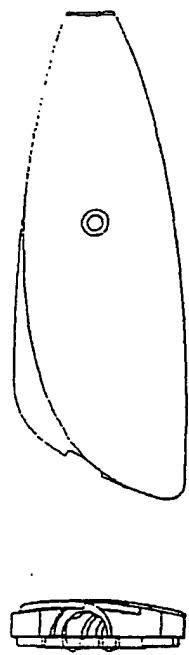


Figure 17A

Figure 17C



Figure 19A

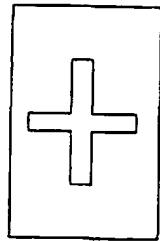


Figure 19B

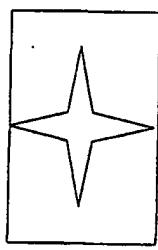


Figure 19C

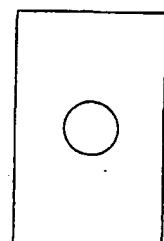
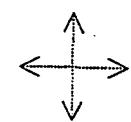


Figure 19D

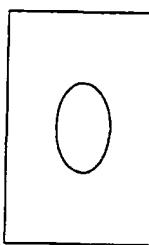


Figure 19E

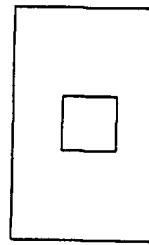


Figure 19F

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
4 October 2001 (04.10.2001)

PCT

(10) International Publication Number  
**WO 01/73687 A3**

(51) International Patent Classification<sup>7</sup>: G06K 7/00, 7/10

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/US01/40370

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(22) International Filing Date: 26 March 2001 (26.03.2001)

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(25) Filing Language: English

(88) Date of publication of the international search report:  
16 May 2002

(26) Publication Language: English

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(30) Priority Data:  
60/191,914 24 March 2000 (24.03.2000) US

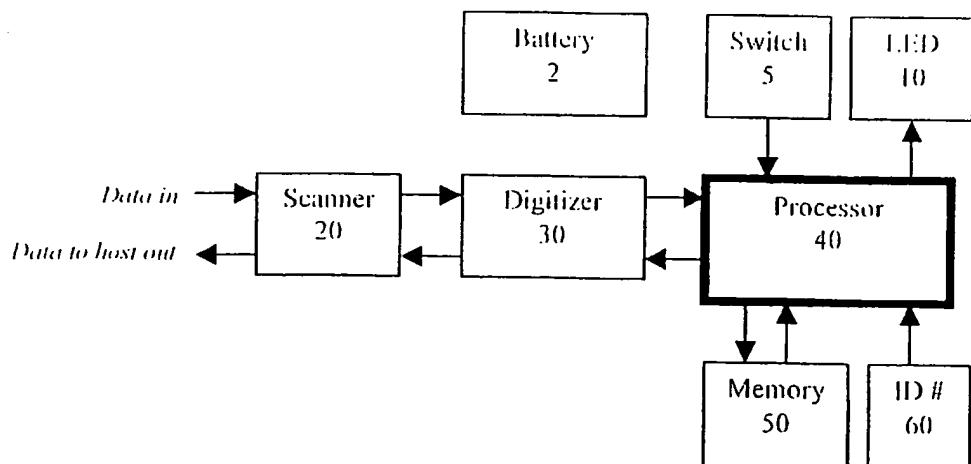
(71) Applicant (*for all designated States except US*): INTER-MEC IP CORP [US/US]; 21900 Burbank Boulevard, Woodland Hills, CA 91367 (US).

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): HILL, Richard, A. [US/US]; 217 112th Street S.W. F103, Everett, WA 98204 (US).

(74) Agent: ORUM & ROTH; 53 West Jackson Boulevard, Chicago, IL 60604-3606 (US).

(54) Title: APPARATUS AND METHOD FOR GATHERING AND UTILIZING DATA



(57) Abstract: Disclosed is a device and method for obtaining and utilizing data. A handheld device which is capable of optically scanning or receiving RFID signals from an object, storing the data in an internal memory, and then re-transmitting the data is disclosed. The re-transmitted data may trigger access to an internet web site or other database which provides a user with detailed information relating to the scanned object. The device is also usable as a re-configurable electronic key or may be built into electronic devices to allow reconfiguration by scanning a symbol.

WO 01/73687 A3

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 01/40370

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G06K7/00 G06K7/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 08311 A (TAGMASTER AB ;GUNNARSSON STAFFAN (SE)) 26 February 1998 (1998-02-26) page 4, line 5 - line 8 page 6, line 11 - line 18 page 7, line 16 -page 8, line 15 figure 1	1,4-6, 22,43, 44,52
Y	---	45
Y	WO 98 57474 A (GEMPLUS CARD INT ;MARTINEAU PHILIPPE (FR); MERRIEN LIONEL (US); SI) 17 December 1998 (1998-12-17) page 15, line 24 -page 16, line 2; figure 1	45
X	FR 2 724 032 A (ADVANCED COMMUNICATION TECHNOL) 1 March 1996 (1996-03-01) page 3, line 35 -page 5, line 34; figure 1 -----	1-3

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

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Date of the actual completion of the international search

4 December 2001

Date of mailing of the international search report

19.03.2002

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Bhalodia, A

## INTERNATIONAL SEARCH REPORT

In. .ational application No.  
PCT/US 01/40370

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-6, 22, 43, 44, 45, 52

#### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-6,22,43,44,45,52

A mobile machine readable data acquisition apparatus comprising a scanning means for scanning a machine readable symbology, a processor having a decoding function linked to a memory means for local data storage. The memory can be an RFID circuit. The apparatus includes an electronic serial number.

2. Claims: 7-10

The processor means comprises an encryption function for encrypting machine readable symbol data decoded by said processor.

3. Claims: 11-21

The scanning means comprises an optical sensor/receiver and a lens to focus optical signals.

4. Claims: 23-35, 49-51

A data transfer apparatus comprising a housing having a data acquisition device mating cavity, a data transfer means for communication with said data acquisition device, a connection means for interconnection with a host computing means.

A method for transferring data between a mobile data acquisition apparatus and a host computer comprising the steps of: connecting a mobile data acquisition apparatus with a docking device connected to a host computer between a host computer keyboard port and a host computer keyboard; monitoring said host computer keyboard port to transmit a status indicator signal to said docking device.

A two way communication method for a device attached to a computer keyboard port and a computer.

5. Claims: 36-42, 46-48

A re-configurable electronic key comprising a scanning means, an output means for outputting a stored key sequence, said key configurable by scanning a machine readable symbology.

A method for using an electronic key.

An electronic device configurable via a machine readable symbology comprising a scanning means, the symbology

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

determines an operating mode of the device.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/US 01/40370

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